

FIG. 1

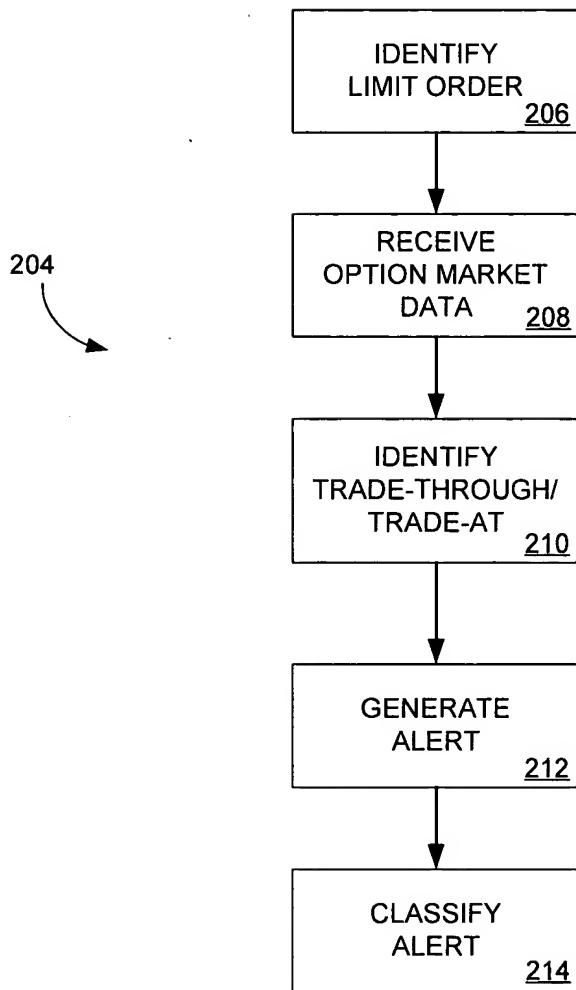


FIG. 2

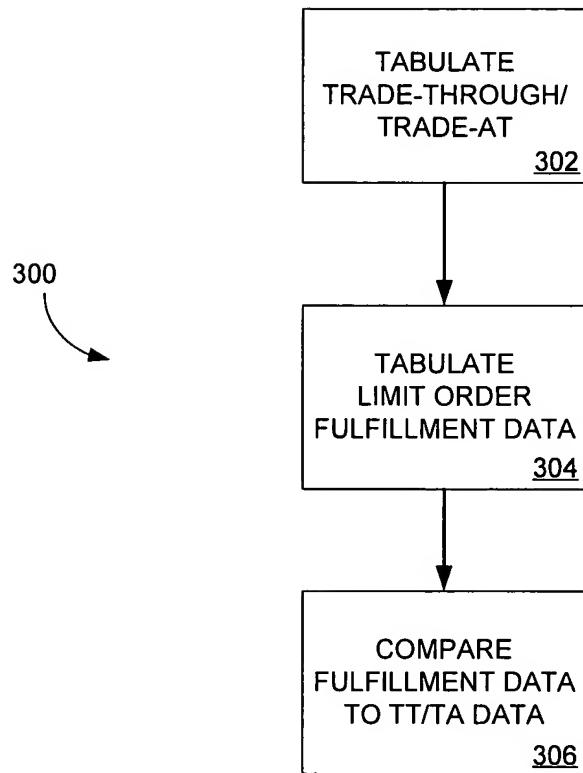


FIG. 3

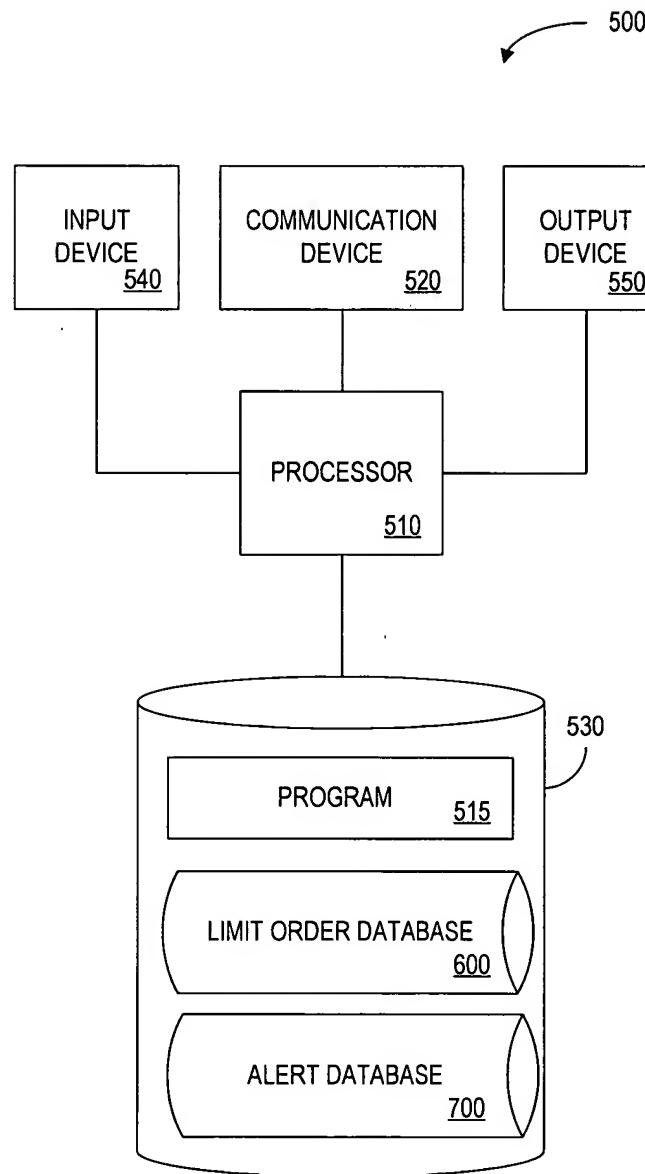


FIG. 4

600

ORDER IDENTIFIER	TIME ORDER RECEIVED	ORDER TERMS	CUSTOMER INFORMATION	ALERT(S)	QUANTITY FILLED
L01001	10/06/02 09:33:17	Sell 25 INQ Apr 130 Call at 5	C1001	A2001	ALL
L01002	10/06/02 09:44:53	Buy 10 QQQ Apr 34 Call at 12	C1001	A2002	NONE
L01003	01/07/02 09:55:27	Buy 10 QQQ Apr 35 Put at 7	C1001	A2003	5

FIG. 5

SUBSCRIPTION																																																																																																											
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New Records		▼		Cust. C1001		▼		SUBSCRIPTION																																																																																																			
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FIG. 5A

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FIG. 5B



ALERT IDENTIFIER 702	ALERT STATUS 704	TRADE-THROUGH/ TRADE-AT 706	PRICE 708	TIME 710	EXCHANGE 712	FLAGS 714
A2001	INACTIVE	TT	5.25	10/06/02 09:50:01	AMEX	
A2002	ACTIVE	TT	11.75	10/06/02 10:25:03	AMEX	
A2003	INACTIVE	TA		01/07/02 10:06:25	PCSE	

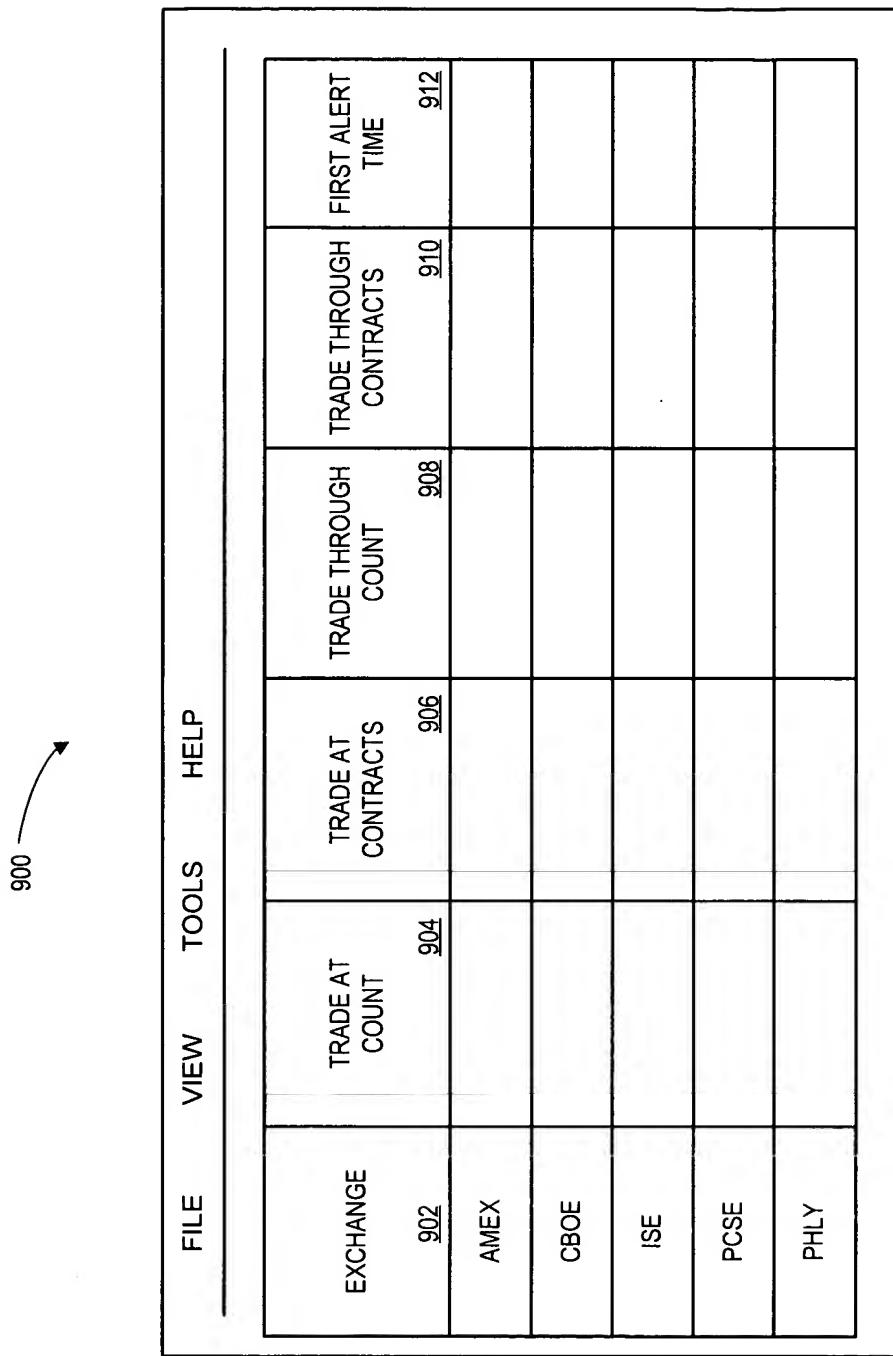
FIG. 6



800

FILE	VIEW	TOOLS	HELP	
CUSTOMER C1001 OPEN LIMIT ORDERS				
ORDER NUMBER	ORDER TERMS	LEAVES	TRADE-THROUGH/TRADE-AT	COMMENT
802		804	806	810
LO1002	BUY 10 QQQ APR 34 CALL AT 12	10	YES	
LO1003	BUY 10 QQQ APR 35 PUT AT 7	5	YES	NON LEADING EXCHANGE
LO1006	SELL 50 INQ APR 135 CALL AT 2	25	NO	
LO1008	BUY 20 XYZ APR 80 CALL AT 8	20	NO	

FIG. 7



900 →

EXCHANGE	TRADE AT COUNT	TRADE AT CONTRACTS	TRADE THROUGH COUNT	TRADE THROUGH CONTRACTS	FIRST ALERT TIME
902	904	906	908	910	912
AMEX					
CBOE					
ISE					
PCSE					
PHLY					

FIG. 7A



1000

FILE VIEW TOOLS HELP

PERFORMANCE SUMMARY BY MONTH

MONTH	TOTAL ORDERS	TOTAL CONTRACTS	PERCENT FILLED	LOP STANDARD
10/02	1002	1004	1006	1008
10/02	275	2550	85%	80%
9/02	223	2110	80%	92%
8/02	305	2870	82%	87%

FIG. 8

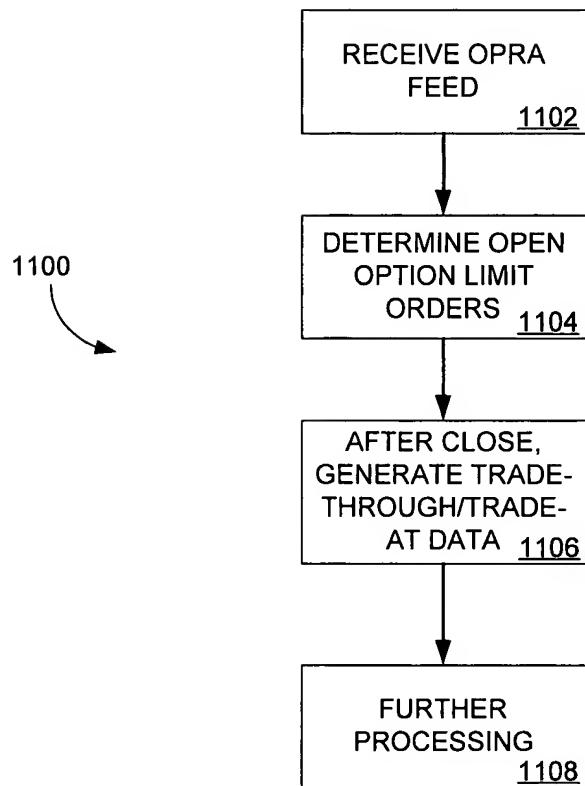


FIG. 9